

GOVERNMENT OF INDIA
Ministry of Road Transport & Highways
(Planning Zone)

Transport Bhawan,
1, Parliament Street,
New Delhi-110001

No. I-26011/3/2001(12)-P&M (Pt.)

Dated, 29th June, 2016

OFFICE MEMORANDUM


Subject: Delegation of powers for Administrative / Financial Approval of Periodic Renewal / Improvement of Riding Quality Programme (PR/IRQP) under Maintenance & Repair (M&R) of NHs (Non-Plan) –Reg.

Reference:- (i) OM of even number dated 22.02.2016
(ii) OM of even number dated 23.02.2016
(iii) OM of even number dated 07.03.2016

Please refer to this Ministry's OMs under reference above on the above mentioned subject.

2. The clarifications to para 2 (i) and (ii) of OM under reference (i) are enclosed herewith for further necessary action.

Enclosure: As above


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To,

1. ADG – I / Coordinator – I / Coordinator – II / Coordinator – III
2. All CEs / SEs / EEs of the Ministry
3. DyFA
4. All Directors / DSs / USs / Sections in Roads Wing
5. All ROs / ELOs of the Ministry

Copy for information and necessary action to:-

1. PS to Hon'ble Minister (RT&H)
2. PS to Hon'ble MOS (RT&H)
3. PPS to Secretary (RT&H)
4. PPS to DG (RD)&SS
5. PPS to AS & FA

Enclosure to O.M. No. I-26011/3/2001(12)-P&M (Pt.), dated, 29th June, 2016

Sub-para no.	Provisions	Clarifications												
2 (i)	<p>Delegation for Administrative Approval (AA) & Financial Sanction (FS)</p> <table border="1"> <thead> <tr> <th data-bbox="371 607 563 674">Estimated Cost</th> <th data-bbox="563 607 834 674">Authority for AA & FS</th> <th data-bbox="834 607 1058 741">Level of Authority for Financial Concurrence</th> </tr> </thead> <tbody> <tr> <td data-bbox="371 730 563 864">Upto and including Rs.25 crore Full power</td> <td data-bbox="563 730 834 864">CE (Project Zone) Secretary (RT&H)</td> <td data-bbox="834 730 1058 864">Dy FA FA</td> </tr> </tbody> </table>	Estimated Cost	Authority for AA & FS	Level of Authority for Financial Concurrence	Upto and including Rs.25 crore Full power	CE (Project Zone) Secretary (RT&H)	Dy FA FA	<p>This shall be applicable for individual works and also for all proposals involving revalidation of sanction of PR / IRQP works irrespective of their dates / years of sanction.</p>						
Estimated Cost	Authority for AA & FS	Level of Authority for Financial Concurrence												
Upto and including Rs.25 crore Full power	CE (Project Zone) Secretary (RT&H)	Dy FA FA												
2 (ii)	<p>The delegation for AA & FS on account of Tender Premium / Variation, etc.: -</p> <table border="1"> <thead> <tr> <th data-bbox="371 1021 563 1155">Excess over sanctioned cost upto</th> <th data-bbox="563 1021 834 1088">Delegation for RCE (AA & FS)</th> <th data-bbox="834 1021 1058 1155">Level of Authority for Financial Concurrence</th> </tr> </thead> <tbody> <tr> <td data-bbox="371 1155 563 1211">5%</td> <td data-bbox="563 1155 834 1211">Approval for RCE not required</td> <td data-bbox="834 1155 1058 1211">-</td> </tr> <tr> <td data-bbox="371 1211 563 1312">Beyond 5% and upto 10%</td> <td data-bbox="563 1211 834 1312">CE (Project Zone)</td> <td data-bbox="834 1211 1058 1312">Dy FA</td> </tr> <tr> <td data-bbox="371 1312 563 1402">Beyond 10%</td> <td data-bbox="563 1312 834 1402">Secretary(RT&H) with concurrence of IFD</td> <td data-bbox="834 1312 1058 1402">FA</td> </tr> </tbody> </table>	Excess over sanctioned cost upto	Delegation for RCE (AA & FS)	Level of Authority for Financial Concurrence	5%	Approval for RCE not required	-	Beyond 5% and upto 10%	CE (Project Zone)	Dy FA	Beyond 10%	Secretary(RT&H) with concurrence of IFD	FA	<p>This shall be applicable for all sanctioned PR / IRQP works irrespective of their dates / years of sanction.</p>
Excess over sanctioned cost upto	Delegation for RCE (AA & FS)	Level of Authority for Financial Concurrence												
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GOVERNMENT OF INDIA
Ministry of Road Transport & Highways
(Planning Zone)

Transport Bhawan,
1, Parliament Street,
New Delhi-110001

No. I-26011/3/2001(12)-P&M (Pt.)

Dated, 22nd February, 2016

OFFICE MEMORANDUM

Subject: Delegation of powers for Administrative / Financial Approval of Periodic Renewal / Improvement of Riding Quality Programme (PR / IRQP) under Maintenance & Repair (M&R) of NHs (Non-Plan) – first phase-reg.

The undersigned is directed to refer to instructions issues vide OM No RW/NH-11048/2/2000-US(D.I), dated 16 05 2000, regarding financial sanctions for incurring expenditure on maintenance of National Highways.

2. In continuation of the provisions stipulated therein, the following guidelines shall be applicable henceforth with immediate effect and until further orders regarding procedure and delegation of Technical Sanction, Administrative Approval and Financial Sanctions of Periodic Renewal (PR) / Improvement of Riding Quality Programme (IRQP) works.

(i) Delegation for Administrative Approval (AA) & Financial Sanction (FS)

Estimated Cost	Authority for AA & FS	Level of Authority for Financial Concurrence
Upto and including Rs.25 crore	CE (Project Zone)	Dy FA
Full power	Secretary (RT&H)	FA

(ii) The delegation for AA & FS on account of Tender Premium / Variation, etc -

Excess over sanctioned upto	over cost	Delegation for RCE (AA & FS)	Level of Authority for Financial Concurrence
5%		Approval for RCE not required	-
Beyond 5% and upto 10%		CE (Project Zone)	Dy FA
Beyond 10%		Secretary(RT&H) with concurrence of IFD	FA

-Continued-

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(iii) Sanction ceilings for PR / IRQP works for a particular financial year shall be finalized in the Ministry by September of the previous financial year. Based on the same Annual Renewal Plan (ARP) shall be drawn up comprising of individual works by the concerned Project Zone CE of the Ministry in consultation with CE(NH) of State PWD and RO of the Ministry. A consolidated proposal comprising of the detailed list of individual works under ARP shall be submitted in the form of "**Abstract Estimate**" by State PWDs to concerned ROs of the Ministry.

(iv) The details in the "Abstract Estimate" shall include the stretches / sections of NHs, their lengths, carriageway widths, existing crust compositions (both non-bituminous and bituminous), nature and type of last improvements and maintenance works (PR / IRQP) done along with date of completion of such works, bar charts, whether the stretch qualifies for PR / IRQP as per the stipulated cycle, the proposed specifications, specific reasons / justifications in case profile corrective course (PCC) is required in excess of 10 % of the bituminous binding layer thickness including why such stretches should not be excluded from the programme and planned for more comprehensive treatments, existing drainage conditions and adequacies thereof, requirement of additional drainage works (if any), tentative estimated cost including centages, etc.

(v) The concerned RO of the Ministry shall forward the ARP to the Project Zone CE of the Ministry with his recommendations by October of the preceding financial year duly satisfying whether or not the proposed stretches qualify for the treatment as per stipulated PR cycle.

(vi) The concerned Project Zone CE of the Ministry shall finalize the ARP duly satisfying its adequacy and obtain approval of Secretary (RT&H) by first week of November of the preceding financial year and host it on the Ministry's website.

(vii) The individual estimates for works shall be prepared by CE(NH) and forwarded to the concerned Project Zone CE of the Ministry through RO. Such estimates may be Technically sanctioned (TS) by the Project zone CEs of the Ministry by December of the preceding Financial year provided the excess / savings in such estimates are upto $\pm 10\%$ of the amount mentioned against the work as per the ARP finalized and total cost of sanctioned works, do not exceed stipulated Sanction ceiling. Detailed estimates for new works, which could not be included in the ARP, could also be considered by concerned Project Zone CEs by substitution subject to the condition that the modified ARP after inclusion of new work (after substitution) is within the overall Sanction Ceiling stipulated for the State and sum total of estimated cost of such new works do not exceed 20 % of the ARP.

(viii) The pre-tender activities should be taken up immediately while individual estimates are forwarded by RO with his recommendations to the Project Zone CE of the Ministry so that works could commence in time on sanction of work and funds effectively utilized.

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(ix) Concerned Project Zones of the Ministry shall obtain Financial Concurrence of IFD and process for Administrative Approval and Financial Sanctions for individual estimates as per the delegation at sub-para (i) & (ii) ante and host it on the Ministry's website. The sanction order should include reference number of Sanction ceiling/ARP order, the cumulative sanction issued and the balance sanction limits available. The CE of the concerned Project Zone shall ensure that the sanction are issued within the sanction ceiling stipulated for the State/UT

(x) It shall be the responsibility of concerned Project Zone CE of the Ministry to update on "e Pace" status of sanction of works, progress of implementation and host the monthly report as on 1st of every month on the Ministry's website with the details as per the attached proforma.

3 The above mentioned stipulations shall be applicable for PR/IRQP Sanctions w e f. 2016-17 and until further orders.

4. The relevant instructions contained herein shall, also, be applicable for State UT-wise sanction ceilings / ARP for PR / IRQP works for 2016-17 (First Phase) communicated vide O.M. no. RW/G-23012/1/2015-W&A, dated 10.12.2015. All Project Zone CEs are, accordingly, requested to take up urgent necessary action as indicated in Para 3(iii), 3(iv), 3(v) and 3(vi), etc., above for 2016-17 works as regards sanctioning of the estimates, their award and timely implementation may be ensured.

5 Separate instructions issued regarding sanctioning of FDR works vide OM No. I-26011/3/2001(12)-P&M (Pt), dated 03.01 2013, shall also continue to be applicable

6. Further delegations to the level of ROs will follow in due course.

7. This issues with the concurrence of Finance Wing vide U.O. No.56-DS (Finance) dated 16.02.2016 and approval of Hon'ble Minister (RT&H).

Enclosure As above


(Surajit Dutta)

Under Secretary to the Government of India

To,

- 1 ADG – I / ADG – II / Coordinator – I / Coordinator – II / Coordinator – III
- 2 All CEs / SEs / EEs of the Ministry
3. DyFA
4. All Directors / DSs / USs / Sections in Roads Wing
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Enclosure to O.M. No. No. I-26011/3/2001(12)-P&M (Pt.), dated 22nd February, 2016

Proforma for hosting monthly status of PR / IRQP works under the Annual Renewal Plan on the Ministry's website

1. Name of State

Amount in Rs. Crore

Sl No.	NH No	Description of work	Estimated Cost	Whether sanctioned	Sanctioned Cost	Date of Sanction	Target date of completion	% physical progress	Length completed (km)	Expenditure (total)	Likely completion date	Reasons for delay, if any, and corrective actions taken

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Government of India
Ministry of Road Transport & Highways
(Planning Zone)

No. I-26011/3/2001(12)-P&M(Pt.)

Dated 7th March, 2016

OFFICE MEMORANDUM

Subject:-Delegation of powers for Administrative / Financial Approval of Periodic Renewal / Improvement of Riding Quality Programme (PR / IRQP) under Maintenance & Repair (M & R of NHs (Non-Plan) - Clarifications -Reg.

Reference: (i) O.M. of even no , dated 22.02.2016.
(ii) O M of even no., dated 23.02.2016

Please refer to O.M. under reference (i) above and subsequent corrigendum issued vide O M under reference (ii) on the above mentioned subject.

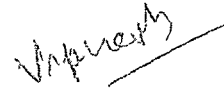
2. As clarified in para (2) and (3) of the O.M. under ref. (i), the guidelines regarding procedure and delegation of Technical Sanction, Administrative Approval and Financial Sanctions of Periodical Renewal (PR) / Improvement of Riding Quality Programme (IRQP) works, shall be applicable with immediate effect (w.e.f. 2016-17 onwards) and until further orders.

3. However, it has been observed that many PR/IRQP estimates are, still, being put up for soliciting AA & FS of Secretary(RT&H) although the same are within the delegated powers of Project Zone Chief Engineers as per O.Ms. under reference.

4 In this regard, it is pertinent to mention that Ministry vide O.M. no. RW/G-23012/1/2015-W&A, dated 10.12.2015 (copy enclosed) had circulated "Annual Renewal Programme 2016-17 - First Phase". The extant policies stipulated vide O.Ms. under reference shall, also, be applicable for the sanction ceilings stipulated vide O.M. dated 10.12.2015.

5. In view of the above, it is requested that all Project Zone CEs may take up further necessary action accordingly and stop soliciting AA & FS from Secretary (RT&H) for individual proposals commensurate to the above mentioned references, in case the same is within their delegated powers.

Enclosure. As above


(Vipnesh Sharma)
SE(Planning)
Room No.146

To

All Project Zone Chief Engineers

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SE (P-7) II